

Date: \_\_\_\_\_

Inward No: TC \_\_\_\_\_

To,  
Ghalla Bhansali Stock Brokers Pvt Ltd  
Depository Participant (IN301854), Dadar (E), Mumbai – 400 014.

Dear Sir,

**Sub: Procedure for transmission case.**

With reference to my/our demat account \_\_\_\_\_ held with you I / we would like to inform you that the 1<sup>st</sup> / 2<sup>nd</sup>/ 3<sup>rd</sup> holder had expired. Hence I/we kindly request you to let us know me/us the procedure for transfer of securities held in the said demat account.

Deceased holder name : \_\_\_\_\_

Expired on : \_\_\_\_\_.

**The copy of death certificate is enclosed herewith (Original or Notarised true copy).**

<b>PAN of deceased</b>																				
<b>Date of Birth of deceased</b>																				

Yours truly,

<b>Holder(s) Name</b>	<b>Signature(s)</b>

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**For GBSB office use only:**

**For TC matter DIS serial No :**

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<b>Particular</b>	<b>DP Instruction Id</b>	<b>Captured by</b>	<b>Released by</b>
<b>Transmission</b>			
<b>Closure No.</b>			
<b>SI No.</b>			
<b>Freeze No.</b>			
<b>KYC Done</b>	<b>Yes / No</b>	<b>KRA -</b>	

**TRANSMISSION FORM**

To,  
**Ghalla Bhansali Stock Brokers Pvt. Ltd.**  
 DP ID : IN301854  
 'Devansh', 133, D.S.P. Road, Near Ranjit Studios,  
 Dadar(East), Mumbai – 400 014.

Date : \_\_\_\_\_

**(1) I/We, the undersigned, being the**

- Executor(s) of the Will     
  Legal heir(s)     
  Administrator(s) of the Estate  
 Joint holder(s)     
  Successor(s) to the Estate     
  Nominee     
  Survivors of HUF

of Mr./Mrs./Ms. \_\_\_\_\_,  
 Mr./Mrs./Ms. \_\_\_\_\_ and  
 Mr./Mrs./Ms. \_\_\_\_\_, the deceased, of which \*nomination /  
 probate/ letter of administration / succession certificate was duly granted to me / us on the \_\_\_\_\_ day of  
 \_\_\_\_\_ of \_\_\_\_\_ hereby request you to register me/us as the beneficial owner(s) in respect of  
 the securities standing in the name of the said deceased under Client ID \_\_\_\_\_ DP ID IN301854.

**(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:**

Name	DP ID	Client ID
	IN	

**(3) List of Documents enclosed (for Individual accounts) (tick as applicable):**

- A** For surviving holder(s) in a joint account  
 Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- B** For nominee of the deceased:  
 Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.  
 In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the nominee.
- C** For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs.5 lakh on the date of application  
 Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer  
 In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.  
 Indemnity (format given at Annexure OC)  
 Affidavit (format given at Annexure OD)  
 No objection certificate(s) (format given at Annexure OE)  
 Family Settlement Deed [as an alternate to No objection certificate(s)]
- D** For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application  
 Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer  
 In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.  
 Succession certificate  
 Letter of Administration  
 Probate of the Will

**(4) Declaration:**

*(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 Lakh on the date of application)*

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

Sr. N	Name of the legal heir(s)	Specify whether a claimant or given a No Objection Certificate

**(5) List of Documents enclosed (for HUF accounts) (tick as applicable):**

- A** Value of holding not exceeding Rs.10 lakh on the date of application. [Where there is an objection from any member of HUF, documents mentioned at  **B** below must be submitted]
- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- Indemnity (format given at Annexure OF)
- Affidavit (format given at Annexure OG)

- B** Value of holding was Rs. 10 lakh or more on the date of application.
- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- Court Decree
- Deed of Partition

- C** Separation/ partition of HUF:
- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- Settlement Deed
- Deed of Partition
- Court Decree

**(6) Signatures:**

Sr	Name of claimant	Signature of claimant
1		
2		
3		

- Notes:**1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee / all surviving members of the HUF, as the case may be.
2. \* Strike off whichever is not applicable.